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## Ian Naismith

3/31/2011

### FORM ADV PART 2B BROCHURE SUPPLEMENT

**This brochure supplement provides information about Ian Naismith that supplements the Sarasota Capital Strategies brochure. You should have received a copy of that brochure. Please contact our compliance or operations associate if you did not receive Sarasota Capital Strategies brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ian Naismith is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



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### **Educational Background and Business Experience**

Form ADV Part 2B, Item 2

**Ian Naismith**

*Year of Birth:* 1963

*Formal Education after High School:*

- Austin College, B.A., Business Administration, Psychology & Sociology, 1985

*Business Background for the Previous Five Years:*

- Sarasota Capital Strategies, Inc., Principal, President, Chief Compliance Officer, September 2002 – Present
- Naismith Capital Strategies, Inc., President, January 1997 – Present
- RTR, LLC, Managing Member, January 2006 – Present
- ETF Pros, Inc., Managing Member, December 2005 – Present
- National Association of Active Investment Manager, Board of Director, May 2008 – Present

### **Disciplinary Information**

Form ADV Part 2B, Item 3

Ian Naismith has no disciplinary or legal history with any regulator, state agency or law enforcement.

### **Other Business Activities**

Form ADV Part 2B, Item 4

Ian Naismith is not registered with a broker dealer or any other investment advisory business. Ian is owner of Naismith Capital Strategies, a Florida corporation primarily utilized for federal taxation purposes as well as authoring articles for investment publications in addition to developing trading model strategies. This business activity represents less than ten percent of his time and income during market hours.

Ian Naismith is partial owner of RTR, LLC which is the landlord of the Sarasota Capital Strategies Osprey, Florida location. This activity represents less than ten percent of his time and income during market hours.

Ian Naismith is on the Board of Director's for National Association of Active Investment Managers. He provides membership vision and direction through ideas from his business background. Ian is not compensated for this activity which accounts for less than ten percent of his time during market hours.

Ian Naismith is co-owner with his spouse Karen Naismith of Vidrio Arts, Inc. Vidrio Arts sells products such as greeting cards and art pieces from recycled material as well as original music recordings by Ian Naismith. This activity is not done during market hours.



### ***Additional Compensation***

Form ADV Part 2B, Item 5

Ian Naismith does not provide advisory services away from Sarasota Capital Strategies. Sarasota Capital Strategies does not provide bonuses or incentives directly related to the number of sales, client referrals or new accounts of Mr. Naismith.

### ***Supervision***

Form ADV Part 2B, Item 6

Ian Naismith is the Chief Compliance Officer as well as a portfolio manager and co-owner of Sarasota Capital Strategies. He is monitored by his business partner Anthony Welch who is equal owner and vice president of the company. Anthony Welch monitors Ian Naismith through daily meetings and periodic review of client activity. Anthony Welch can be reached at (941) 918-2255 or [tony@etfpros.com](mailto:tony@etfpros.com)

